

## **ANNEX X**

### **To the Notification**

***(Stipulations in exemption of Sections 299A, 300, 303, 307(2), 307(3) and 318 of M.S. Act)***

### **Surveys and Certificates**

#### **1.1 Inspection and survey**

- 1.1.1 The inspection and survey of river-sea vessels for the enforcement of the provisions of the Notification for Indian river-sea vessels, shall be carried out by officers of the Administration. The Administration may, however, entrust the inspections and surveys either to surveyors nominated for the purpose or to organisations recognised by it.
- 1.1.2 When a nominated surveyor or recognised organisation determines that the condition of the river-sea vessel or its equipment does not correspond substantially with the particulars of the certificate or is such that the river-sea vessel is not fit to proceed to sea without danger to the river-sea vessel, or persons on board, such surveyor or organisation shall ensure that corrective action is taken and shall in due course notify the Administration.
- 1.1.3 In the event as set forth in paragraph 1.1.2, the nominated surveyor or recognised organisation may allow the river-sea vessel to proceed to sea provided that the corrective actions as prescribed during the survey are undertaken to be carried out by the owner or manager within a stipulated period.

#### **1.2 Surveys**

- 1.2.1 The structure, machinery, life-saving appliances, radio installations and other equipment should be subject to the surveys specified below:
1. an initial survey before the river-sea vessel is put in service;
  2. a renewal survey at intervals specified by the Administration not exceeding five years;
  3. an intermediate survey within three months before or after the 2<sup>nd</sup> or 3<sup>rd</sup>

- anniversary date of the Indian River-Sea Vessel Safety Certificate;
4. An annual survey within three months before or after each anniversary date of the Indian River-Sea Vessel Safety Certificate.
  5. A minimum of two inspections of the outside of the river-sea vessel's bottom should be carried out during the five year period of validity of the Indian River-Sea Vessel Safety Certificate provided the interval between any two such inspections shall not exceed thirty-six months. For river-sea vessels less than 15 years of age, the intermediate drydocking survey can be an in-water survey using CCTV.

These surveys shall include surveys required under the provisions of the applicable Load Line rules as amended of the Administration or a recognised organisation acting on its behalf.

1.2.2 The surveys referred to in para 1.2.1 shall include the following:

1. The structure, machinery and equipment, other than those items surveyed with the life-saving appliances and installations;
2. The fire safety systems and appliances, life-saving appliances and arrangements, the river-sea vessel borne navigational equipment, means of embarkation for pilots and other equipment;
3. The fire control plans, nautical publications, lights, shapes, means of making sound signals and distress signals; and
4. The radio installations of the river-sea vessel.

1.2.3 The initial or renewal survey shall include the following:

- (a) the initial survey of a new river-sea vessel<sup>1</sup> shall include a complete inspection of the items referred to in para 1.2.2 to ensure that the arrangements, materials, scantling and workmanship of the structure, boilers, and other pressure vessels, their appurtenances, main and auxiliary machinery including steering gear and associated control systems, electrical installations and other equipment comply with the requirements of the Notification for Indian river-sea vessels, are in satisfactory condition and are fit for the service for which the river-sea vessel is intended; OR

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<sup>1</sup> For the purposes of initial survey, an existing river-sea vessel not in possession of a valid Certificate of Class for the intended operation at the time of adoption of the Notification for Indian River-Sea Vessels shall be treated as a new river-sea vessel

- (b) the initial survey of an existing river-sea vessel shall include a complete inspection of the items referred to in para 2.2.2 which had to be modified or added in order to comply with the requirements of the Notification for Indian river-sea vessels to ensure that the arrangements, materials, scantling and workmanship of the structure, boilers, and other pressure vessels, their appurtenances, main and auxiliary machinery including steering gear and associated control systems, electrical installations and other equipment which had to be modified or added comply with the requirements of the Notification for Indian river-sea vessels, are in satisfactory condition and are fit for the service for which the river-sea vessel is intended.

For existing river-sea vessels, the outside of the river-sea vessel's bottom shall be required to have been inspected within twelve months preceding the issuance of the Indian River-Sea Vessel Safety Certificate.

- 1.2.4 the intermediate survey shall include an inspection of the structure, machinery and equipment referred to in paragraph 1.2.2 to ensure that they have been maintained satisfactorily for the service for which the river-sea vessel is intended;
- 1.2.5 the inspection of the outside of the river-sea vessel's bottom and survey of related items inspected at the same time shall be such as to ensure that they remain satisfactory for the service for which the river-sea vessel is intended.

### **1.3 Maintenance of conditions after survey**

The owner or master of every river-sea vessel to which this Notification for Indian River-Sea Vessels applies should ensure that:

1. the condition of the river-sea vessel and its equipment is maintained to conform with the provisions of this Notification for Indian river-sea vessels to ensure that the river-sea vessel in all respects will remain fit to proceed to sea without danger to the river-sea vessel, persons on board or the environment;
2. after any survey of the river-sea vessel under 1.2 has been completed, no significant change should be made in the structural arrangement, machinery, equipment and other items covered by the survey, without the permission of the Administration; and
3. whenever an accident occurs to a river-sea vessel or a defect is discovered, either of which affects the safety of the river-sea vessel or the efficiency or completeness of its life-saving appliances or other equipment, a request should be made immediately to the Administration for a survey as may be required by 1.2, be carried out as soon as practicable.

#### **1.4 Issue or endorsement of certificates**

1.4.1 A certificate called an Indian River-Sea Vessel Safety Certificate shall be issued after an initial or renewal survey of a river-sea vessel which complies with the requirements of this Notification for Indian River-Sea Vessels.

1.4.2 The certificate referred to in para 1.4.1 shall be supplemented by a Record of Equipment and Ship Information which shall be permanently attached thereto and which shall contain the following:

1. A record of equipment and operations information in compliance with Annexes II, VI, VII, VIII and IX of this Notification for Indian River-Sea Vessels;

1.4.3 The certificate referred to in this section shall be issued or endorsed by the Administration or by a recognised organisation acting on its behalf.

1.4.4 As regards Safety Management System and Ship Security measures, vessels shall comply with the guidelines issued by the Directorate in this regard from time to time.

#### **1.5 Duration and validity of certificates**

1.5.1 An Indian River-Sea Vessel Safety Certificate should be issued for a period specified by the Administration or a recognised organisation acting on its behalf which should not exceed five years.

1.5.2 the renewal survey is completed within three months before the expiry date of the existing certificate, the new certificate should be valid from the date of completion of renewal survey to a date not exceeding five years from the date of expiry of the existing certificate.

1.5.3 When the renewal survey is completed after the expiry date of the existing certificate, the new certificate should be valid from the date of completion of the renewal survey to a date not exceeding five years from the date expiry of the existing certificate.

1.5.4 When the renewal survey is completed more than three months before or after the expiry date of the existing certificate, the new certificate should be valid from the date of completion of the renewal survey to a date not exceeding five years from the date of completion of the renewal survey.

- 1.5.5 Where a certificate is issued for a period of less than five years, the Administration or a recognised organisation acting on its behalf may extend the validity of the certificate beyond the expiry date to the maximum period specified in 1.5.1, provided that the surveys referred to in 1.2, which are applicable when a certificate is issued for a period of five years are carried out as appropriate.
- 1.5.6 Where a renewal survey has been completed and new certificate cannot be issued or placed on board the river-sea vessel before the expiry date of the existing certificate, the Administration or a recognised organisation acting on its behalf shall endorse the existing certificate and such a certificate should be accepted as valid for a further period which should not exceed five months from the expiry date.
- 1.5.7 Where a river-sea vessel at the time when a certificate expires is not in a position to be surveyed, the Administration or a recognised organisation acting on its behalf may extend the period of validity of the certificate but this extension shall be granted only in cases where it appears proper and reasonable to do so. No certificate shall be extended for a period longer than three months.
- 1.5.8 A certificate issued under 1.4 shall cease to be valid in any of the following cases:
1. where the relevant surveys and inspections are not completed within the periods specified under 1.2;
  2. where the certificate is not endorsed in accordance with the requirements of this Notification for Indian River-Sea Vessels; and
  3. where the river-sea vessel is withdrawn from the Indian Registry.

## **1.6 Availability of certificates**

The certificates issued under 1.5 shall be readily available on board for examination at all times.

## **1.7 Form of certificates**

The certificates and the record of equipment and information should be drawn up in the form corresponding to the models given below:



This certificate is valid until .....subject to the annual surveys, intermediate survey and inspections of the outside of the river-sea vessel's bottom in accordance with Annex X of the Notification for Indian River-Sea Vessels.

Issued at .....  
(Place of issue of certificate)

.....  
(Date of Issue) (Signature of authorised official issuing the certificate)

(Seal or stamp of the issuing authority, as appropriate).

**Endorsement for intermediate survey relating to condition of structure, machinery and equipment as required by Annex X of the Notification for Indian River-Sea Vessels:**

THIS IS TO CERTIFY that, at an intermediate survey required by Annex X of the Notification for Indian River-Sea Vessels, the vessel was found to comply with the relevant requirements of the said Notification

Annual survey Signed: .....  
(Signature of authorised official)

Place: .....  
Date: .....

(Seal or stamp of the authority, as appropriate)

Annual/Intermediate Survey Signed: .....  
(Signature of authorised official)

Place: .....  
Date: .....

(Seal or stamp of the authority, as appropriate)

Annual/Intermediate Survey Signed: .....  
(Signature of authorised official)

Place: .....  
Date: .....

(Seal or stamp of the authority, as appropriate)

Annual survey Signed: .....  
(Signature of authorised official)

Place: .....

Date: .....

(Seal or stamp of the authority, as appropriate)

**Endorsement for inspection of the outside of the river-sea vessel's bottom**

THIS IS TO CERTIFY that inspections of the outside of the river-sea vessel's bottom as required by Annex X of the Notification for Indian River-Sea Vessels, the vessel was found to comply with the relevant requirements of the said Notification.

First Inspection:

Signed: .....  
(Signature of authorised official)

Place: .....

Date: .....

(Seal or stamp of the authority, as appropriate)

Second Inspection:

Signed: .....  
(Signature of authorised official)

Place: .....

Date: .....

(Seal or stamp of the authority, as appropriate)